

DOCKET: SAR100064000

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR:	Richard Hai Huang	)	EXAMINER:	
		)		
SERIAL NO.:		)	ART UNIT:	
		)		
FILING DATE:		)	DATE:	March 26, 2004
		)		
FOR:	MORTISE LOCK	)		
	INTEGRATED TRIM	)		
	ASSEMBLY WITH A	)		
	RETRACTING SPINDLE	)		

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.56, 1.97 and 1.98, the following items are made of record to assist the Patent & Trademark Office in its examination of this application and is, in the opinion of the attorney designated below for applicant(s), information relevant to the closest prior art of which that person is aware. The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified exists.

**PATENT NO.**

**INVENTOR**

**ISSUE DATE**

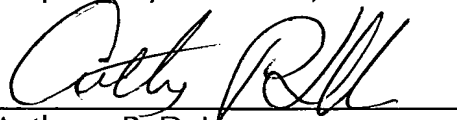
4,067,599  
4,569,547  
6,398,274  
6,626,018

Ohno  
Fayerrman et al.  
Huang et al.  
Eller et al.

January 10, 1978  
February 11, 1986  
June 4, 2002  
September 30, 2003

A copy of each of the foregoing items and Form PTO 1449 are enclosed herewith.

Respectfully submitted,



Anthony P. DeLio  
Reg. No. 18,729

DeLIO & PETERSON, LLC  
121 Whitney Avenue  
New Haven, CT 06510

**CERTIFICATION OF MAILING UNDER 37 CFR 1.10**

"Express Mail" mailing label number EV403085258US Date of Deposit: March 26, 2004 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop PATENT APPLICATION, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Carol M. Thomas  
Sar100064000ids1

Signature: 

FORM PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: SAR100064000	SERIAL NO.:
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT: Richard Hai Huang	
(Use several sheets if necessary) (37 CFR 1.98(b))		FILING DATE:	GROUP:

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		4,067,599	01/10/1978	Ohno			
		4,569,547	02/11/1986	Fayerrman et al.			
		6,398,274	06/04/2002	Huang et al.			
		6,626,018	09/30/2003	Eller et al.			

## FOREIGN PATENT DOCUMENTS

			PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION	
		DOCUMENT NUMBER					YES	NO

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.